

\*\*\* S893 START OF PROCESSING FOR RF41N ACCOUNT:47478

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| ACCOUNT: 47478 - USER #: P4814015 |
| DACE NOTICES |
| DEFINITIVE INFORMATION |
| EUROCLEAR DATE & TIME: 22/02/19 14:20 |
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----- **EVENT NUMBER 4487039** --- CORRECTION

EVENT TYPE 301 MERGER/DEMERGER

**DEMERGER**

Complete

MANDATORY/VOLUNTARY INDICATOR: **MANDATORY**

CORRECTION: UPDATE: **PROCEED RATIO**

----- BALANCES -----

S/N US3696041033 GENERAL ELECTRIC CO - ORD SHS (EQUI/USD)

BALANCES IN USD (DATED 22/02/2019):

SEC.CLEARANCE ACCT: 27,362

-----MAIN UNDERLYING SECURITY-----

SECURITY **US3696041033 GENERAL ELECTRIC CO - ORD SHS**

(EQUITY) NOMINAL USD 1

QUOTATION USD 10.030000 ON 21/02/19

----- OPTION INFORMATION -----

CA OPTION NUMBER:1

CA OPTION TYPE: Securities Option

DEFAULT OPTION: YES

**PAYMENT DATE : 28/02/19**

----- EXERCISE DETAILS -----

SECURITY US3696041033 GENERAL ELECTRIC CO - ORD SHS

(EQUITY) NOMINAL USD 1

QUOTATION USD 10.030000 ON 21/02/19

MINIMUM FOR EXERCISE: 1

MULTIPLE FOR EXERCISE: 1

----- PROCEED DETAILS -----

SECURITY **US9297401088 WESTINGHOUSE AIR BRAKE TECHNOL ORDSH**

(EQUITY) NOMINAL USD 1

QUOTATION USD 73.580000 ON 21/02/19

**PRODUCT RATIO PER MULTIPLE EXERCISED: .005403**  
**ROUNDING: ROUND DOWN TO FULL UNIT OF PROCEED SECURITY**  
**CASH FOR FRACTIONAL SECURITY PRODUCT: NO**

----- EVENT DETAILS -----

INFORMATION ON MERGER/DEMERGER  
MERGER/DEMERGER DATE: 28/02/19  
ELECTR.CERTIF: N/ NO CERTIFICATION REQUIRED  
**RECORD DATE: 27/02/19 EX-DATE: 26/02/19**

**A GENERAL ELECTRIC COMPANY HAS BEEN ANNOUNCED AS FOLLOWS:**  
**- OFFICIAL RECORD DATE: 14/02/2019**  
**- OFFICIAL PAYMENT DATE: 25/02/2019**  
**- DUE BILL DATE: 27/02/2019**

ACCORDING TO LOCAL MARKET PRACTICE, DTC WILL EXECUTE THE DEMERGER BASED ON THE POSITIONS ON THE DUE BILL DATE (RECORD DATE OF EUROCLEAR BANK)

THE CREDIT OF THE NEW SHARES IS EXECUTED BY DTC ONE BUSINESS DAY AFTER THE DUE BILL DATE. THE DEMERGER WILL BE EFFECTIVE IN EUROCLEAR BANK UPON RECEIPT OF THE SHARES FROM DTC, I.E. TWO BUSINESS DAYS AFTER THE DUE BILL DATE.

----- ACTION TO BE TAKEN -----

WE WILL NEITHER CANCEL NOR REPLACE PENDING SETTLEMENT INSTRUCTIONS.

**BY DEFAULT, THE SECURITIES PRODUCT WILL BE CREDITED**

FOR DETAILS, CONTACT CORPORATE ACTIONS - EQUITYREACH EXT 4245

=====**END OF NOTICE**=====